



## Overview

### Rolling Two Year Plan

- audit resource efficiency
- transparency and intent

### Audits Proposed

- 25 audits: compliance audits, follow-up audits, IT audits, operational audits, plus contingency for advisory services

### Bylaw 48M2012

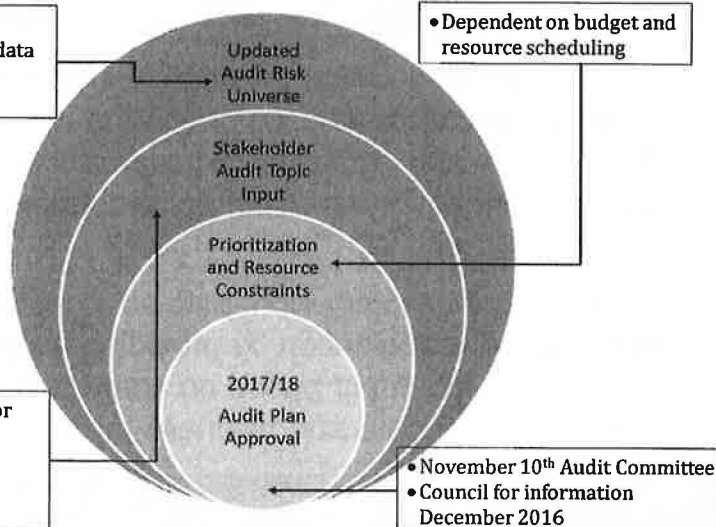
- Audit Committee may not remove items from the City Auditor's work plan but may direct items to be added to the plan

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## Annual Planning Process

- Risk scoring utilizing 2015-18 Action Plan data
- IT risk scoring
- Four year road map



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## **Risks to Achievement of Plan**

- Known unknown vs unknown unknown
- Ongoing resource demands
- Limited budget
- Staff retention of quality professionals
- Complex audit environment

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## **Success Factors**

- Average staff retention > 3 years
- Widely regarded – positive audit survey
- Increased automation of audit software
- Building efficiency through Data Analytics
- Mature recommendation follow-up process

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## **2017/18 Audit Plan**

### **2018 Audits – new audits**

- Finance IT System
- Livery
- Green Line LRT
- Calgary Parking Authority
- Employee Expenses
- Control Environment Assessment
- Water Services Capital Project
- Business Continuity

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## **Recommendations**

1. That Audit Committee approve the City Auditor's Office 2017/18 Annual Audit Plan and forward to Council for information; and
2. That Audit Committee recommend to Council that the City Auditor present the City Auditor's Office 2017/18 Annual Audit Plan to Council.

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## Questions



**Our mission: Provide independent and objective assurance, advisory and investigative services to add value to The City of Calgary and enhance public trust.**

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